

GUIDELINES FOR PAGCOR ENDORSEMENT TO SECURITIES AND EXCHANGE COMMISSION (SEC) APPLICATION FOR REGISTRATION

1. In coordination with the Securities and Exchange Commission (SEC), its applicants for Company registration with the intention to engage in gaming operations, can proceed directly to the Philippine Amusement and Gaming Corporation (PAGCOR) through the Gaming Licensing and Development Department (GLDD) to seek PAGCOR Endorsement on matters covered by GLDD mandate.
2. There is no need for prior referral from SEC when applicants request for PAGCOR endorsement.
3. Applicants must submit:
 - a. Formal letter address to PAGCOR
 - b. Articles of Incorporation or Amended Articles of Incorporation as the case maybe as the basis of GLDD for evaluation
4. Incomplete documents shall be returned immediately. Processing of three (3) working days shall only commence upon submission of complete documents.
5. The primary purpose in the Articles of Incorporation should be in consonance with Section 8 of the PAGCOR Charter, as amended.
6. GLDD reserves the right to interpose OBJECTION in the event that the primary purpose is not covered by the PAGCOR Charter, as amended.
7. It is incumbent upon the applicant to present and submit to SEC the very same Articles of Incorporation or Amended Articles of Incorporation, and other pertinent documents which were presented/submitted to PAGCOR. Otherwise, PAGCOR's endorsement shall automatically be invalidated.
8. SEC has the duty to verify the physical document submitted by the applicant to them in comparison with the scanned copy of the same documents forwarded by PAGCOR through email.
9. The primary purpose will be phrased exactly in the endorsement as it is written in the Articles of Incorporation.
10. It is important that the same pertinent documents submitted to PAGCOR by the applicants will be the same documents to be forwarded to SEC.

11. Once signed by the Head of the Gaming Licensing and Development Department (GLDD), an advance copy of the endorsement will be sent through email to the SEC, subject to its acknowledgement.
12. Upon receipt of the acknowledgement from SEC, the applicants will be notified by GLDD and may be allowed to pick-up the endorsement and supporting documents and hand carry it to SEC provided proper identification is shown or authorization in the case of representatives.